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Docket No.: 56353US002

**Request for Continued Examination (RCE) Transmittal**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

First Named Inventor: Carpenter, Barry S.  
Application No.: 09/912147 Group Art Unit: 2839  
Filed: July 24, 2001 Examiner: M. Zarroli  
Title: Tooling Fixture for Packaged Optical Micro-Mechanical Devices  
**This is a Request for Continued Examination (RCE) under 37 CFR § 1.114 of the above-identified application.**

**1. Submission required under 37 CFR § 1.114**

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR § 1.116 previously filed on  
(Any unentered amendment(s) referred to above will be entered)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii. ☐ Other
- b. ☒ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS)/Supplemental IDS
- iv. ☐ Other
2. ☐ A Request for Extension of Time is being filed concurrently
3. **Fees** (The RCE fee under 37 CFR § 1.17(e) is required by 37 CFR § 1.114 when the RCE is filed.)
- a. ☒ The Director is hereby authorized to charge the following fees or credit any overpayments to:  
Deposit Account No. 13-3723. **A duplicate copy of this letter for fees processing is enclosed.**
- i. ☒ RCE fee required under 37 CFR § 1.17(e)
- ii. ☐ Other
- TECHNOLOGY CENTER 2839  
OCT 10 2003  
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Respectfully submitted,

Sept. 30, 2003  
Date By: Bradford B. Wright  
Bradford B. Wright, Reg. No.: 34,459  
Telephone No.: (651) 736-4172

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: (651) 736-3833

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Sent to Facsimile No.:	Signature: <u>Angie Zontelli</u>
Date:	Printed Name: Angie Zontelli

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01 FC:1801 750.00 BA



32692

PATENT TRADEMARK OFFICE



Patent  
Case No.: 56353US002

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: CARPENTER, BARRY S.  
Application No.: 09/912147 Group Art Unit: 2839  
Filed: July 24, 2001 Examiner: M. Zarroli  
Title: TOOLING FIXTURE FOR PACKAGED OPTICAL MICRO-MECHANICAL DEVICES

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING	
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September 30, 2003	<i>[Signature]</i>
Date	Signed by: Angie Zontelli

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. A copy of each cited reference is also enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Upon review of the file, it was noted that the initialed Form PTO-1449 filed with the Disclosure Statement dated April 21, 2003 has not been received. It is requested that the Examiner initial the Form PTO-1449, a copy of which is enclosed for the Examiner's convenience, and return it to the undersigned.

Respectfully submitted,

Sept. 30, 2003 By: *[Signature: Bradford B. Wright]*  
Date Bradford B. Wright, Reg. No.: 34,459  
Telephone No.: (651) 736-4172

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Use as many sheets as necessary)

Page 1 of 1

**Application Number****09/912147****Filing Date****July 24, 2001****First Named Inventor****Carpenter, Barry S.****Art Unit****2839****Examiner Name****M. Zarroli****Attorney Case Number****56353US002****U.S. Patent Documents**

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 2002/0164113 A1	11-07-2002	Rensing et al.	
	A2	US- 2002/0181838 A1	12-05-2002	Cunningham et al.	
	A3	US-			
	A4	US-			
	A5	US-			
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			

**Foreign Patent Documents**

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1						
	B2						
	B3						
	B4						
	B5						
	B6						
	B7						

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	
	C2	
	C3	

**\*Examiner:****Date Considered:**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Information Disclosure Statement)*